

EFS-Web is the United States Patent and Trademark Office's (USPTO's) new easy-to-use, web-based patent application and document submission solution. Using EFS-Web, anyone with a web-enabled computer can file patent applications and documents without downloading special software or changing document preparation tools and processes.

#### **PRODUCT OVERVIEW**

EFS-Web utilizes standard web-based screens and prompts to enable you to submit a portable document format (PDF) document directly to the USPTO within minutes. You choose the tool, process and workflow with which you author your documents; convert them to standard PDF files and then submit them to USPTO's secure servers. EFS-Web is safe, simple and secure and gives you all of the same benefits as paper filings, including an electronic receipt that acknowledges your filing date.

#### **EFS-Web Benefits**

EFS-Web brings several important benefits to anyone who needs to submit patent applications or follow-on documents:

**EFS-Web is safe.** Filings made with EFS-Web provide you with the same legal protection as paper-based filings. You receive an electronic receipt and confirmation, as well as the ability to print the receipt for your records, affirming your receipt date.

**EFS-Web is simple.** Even the largest and most complex patent applications can be submitted by attaching PDF files and filling out a few simple web screens.

**EFS-Web is secure.** EFS-Web submissions are protected through state-of-the-art security methods, including Transport Layer Security (TLS), and if you sign up as a registered filer, Digital Certificates, one of the most secure methods now available.

**EFS-Web is fast.** In just a few minutes and using a few web screens, you can submit a patent application, pay for your filing and receive an acknowledgment receipt.

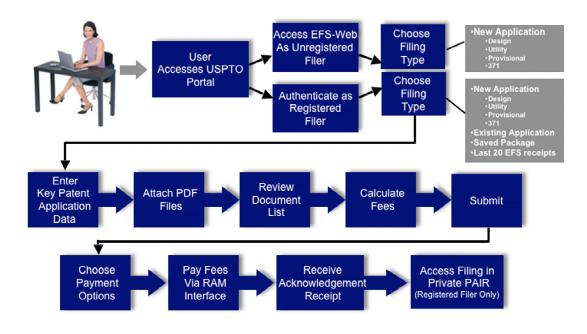
**EFS-Web is flexible.** EFS-Web requires you to make no changes to the tools, processes or workflows you use to author patent applications and documents. Filing with EFS-Web does not require you to learn how to use new authoring tools.

**EFS-Web is forgiving.** EFS-Web validates whether the PDF files and data you're trying to file can be accepted before they are submitted. If there's a problem, EFS-Web will tell you why the document can't be submitted – allowing you to take corrective action quickly.



#### **How EFS-Web Works**

EFS-Web is a hosted patent application and document submission solution that is accessible to anyone who has access to a computer and an Internet connection. USPTO operates EFS-Web on its secure servers.



By navigating through just a few simple screens, EFS-Web allows anyone to file patent applications and documents – even individuals who do not have a USPTO customer number.

### **EFS-Web Sandbox Link**

Below is the URL to the online model of EFS-Web where you can practice going through the interface for submitting applications and documents: http://www.uspto.gov/ebc/portal/tutorials.htm

#### **EFS-Web Features**

### **Web Access**

A computer, an Internet browser and an Internet connection are your tickets to electronic filing. Users can access EFS-Web by navigating to the www.USPTO.gov website and clicking on "File" under "Patents".



#### **Filings Accepted**

EFS-Web accepts new utility, provisional, design, and National Stage applications under 35 USC 371. Registered eFilers may also file follow-on documents for previously filed applications.

## **File Formats Accepted**

EFS-Web accepts standard PDF documents up to 25 megabytes per file. Most common versions of commercial PDF Writer software work with EFS-Web when properly configured. These include:

- ✔ Adobe Acrobat Professional
- ✔ Open Office (Freeware)
- ✔ Easy Office (Ad ware)
- ✓ Cute PDF (Freeware)
- ✔ PDF 995 (Ad ware)
- ✔ Primo PDF (Freeware)

USPTO supports Adobe PDF standards versions 1.1 to 1.6 for PDF creation, and Adobe Acrobat Reader 7.0.5 or higher is needed to use USPTO Fillable forms. A detailed listing of PDFguidelines may be found on USPTO's Electronic filing web page.

### **Registered and Unregistered Filers**

While EFS-Web gives anyone who accesses the site the ability to submit patent applications and documents, you can get more benefit from the system as a registered filer, including filing follow-on papers and saving the package before submission. Registering is easy and can be done by submitting paper forms to USPTO's Electronic Business Center, which will then issue a Digital Certificate that authenticates your identity.

### **Security**

EFS-Web is a secure hosted application, no matter whether you are a registered or an unregistered filer. If you file as an unregistered filer, your documents will be transmitted using Transport Layer Security (TLS) or Secure Socket Layer (SSL) protocol. If you choose to register, your filings will be conducted under a Public Key Infrastructure system that provides authentication and encryption security.

## **Built-In File Validation**

EFS-Web automatically validates files and informs you before submission whether your application meets USPTO standards. If it doesn't meet standards, you'll be notified of the problem so you can take corrective action before final submission.



### **Online Payment of Fees**

EFS-Web allows you to pay filing fees in an e-commerce environment, smoothing the process and allowing you to select the payment option that you desire.

### **Valid Electronic Receipt**

EFS-Web provides an Acknowledgement Receipt that contains critical information, including a time and date stamp, an application number and a confirmation number. This electronic receipt may be printed, and it carries the security and authority of the Post Card receipt commonly provided in paper-filed applications.

## **Public/Private PAIR Integration**

EFS-Web submissions are viewable in Public/Private PAIR just like paper applications. If you are a registered filer, your patent applications and documents are available in Private PAIR in a short time.

## **Support**

Technical support is available through USPTO's Electronic Business Center (EBC) at 866-217-9197 from 6 a.m. to 12 Midnight Eastern Time, Monday – Friday.

## **Training**

Training and EFS-Web QuickStart Guides are provided on the USPTO EFS-Web page. Self-paced Computer Based Training will be available 24 x 7 in various file formats, including Windows Media, Real Media, PowerPoint and PDF files.

## **TECHNICAL REQUIREMENTS**

### **RECOMMENDED**

	Windows PC	Macintosh	
Operating System	XP Pro, XP Home and Windows 2000 Professional	Mac OS X 10.4	
Display	1024 x 768 Resolution, 800 x 600 minimum	1024 x 768 Resolution, 800 x 600 minimum	
CPU	266 MHz or higher Pentium Processor 266 MHz or higher (or similar AMD Processor)	PowerPC	
RAM	128 Mb or more	128 Mb or more	
Hard Drive Space	Up to 90MB	HFS formatted hard drive Up to 125MB	
Internet	56 Kbps or faster	56 Kbps or faster	
Browser	IE 6.0, SP1/SP2, Netscape Navigator 7.0	Safari 2.0	



## **Browser and Adobe Acrobat Reader Compatibility**

The following browser and Adobe Acrobat Reader version combinations for opening PDFs in a browser window are supported (marked by an 'x').

	Acrobat 5.0.5	Acrobat 5.1	Acrobat 6.0.1	Acrobat 7.0*
Internet Explorer 5.01 or higher	Х	X	X	Х
Netscape 7.0	Х	X		Х
Netscape 6.x		X		
Netscape 4.7x		X		Х
Mozilla 1.73				Х
Mozilla 1.8				Х

<sup>\*</sup>Needed to use USPTO PDF Form Fillables

## **Contact Us Today**

USPTO is committed to assisting the intellectual property community in moving towards electronic submission of all patent applications and documents. For more information on EFS-Web, visit our website at www.USPTO.gov.